

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kurt C. McCracken                      Art Unit : 3625  
Serial No. : 09/610,828                      Examiner : Nicholas Rosen  
Filed : July 6, 2000                      Conf. No. : 5424  
Title : MANAGING INVESTMENT ASSETS

**MAILSTOP ISSUE FEE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT POST ALLOWANCE

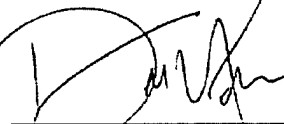
Please correct the Filing Receipt for the above-referenced application to include the correct spelling of the last name of Kurt C. **McCracken**.

Please supply a corrected Filing Receipt to the undersigned with respect to this application. A copy of the original Filing Receipt showing the desired changes in red ink is attached for your convenience as well as a copy of the executed oath and declaration as filed on December 1, 2000.

No fee is believed to be due. If, however, there are any charges or credits, please apply them to deposit account no. 06-1050, reference 12016-0002001.

Respectfully submitted,

Date: 7/30/8



David L. Feigenbaum  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/610,828	07/06/2000	2766	465	12016-002001	3	25	2

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DAVID L. FEIGENBAUM

## FILING RECEIPT



\*OC000000005618243\*

Date Mailed: 12/13/2000

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## Applicant(s)

*McCracken*  
Kurt C. McCracken, Philadelphia, PA ;  
Eric Ziegler, Corte Madera, CA ;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 09/08/2000

\*\* SMALL ENTITY \*\*

## Title

Managing investment assets

## Preliminary Class

705

Data entry by : BRUNSON, MONIQUE

Team : OIPE

Date: 12/13/2000



No Docketing Required  
Reviewer : Practice Systems  
Initials : *[Signature]*

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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## COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled MANAGING INVESTMENT ASSETS, the specification of which:

☐ is attached hereto.

☒ was filed on July 6, 2000 as Application Serial No. 09/610,828 and was amended on \_\_\_\_\_

☐ was described and claimed in PCT International Application No. \_\_\_\_\_ filed on \_\_\_\_\_ and as amended under PCT Article 19 on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

David L. Feigenbaum, Reg. No. 30,378  
Timothy A. French, Reg. No. 30,175

Robert E. Hillman, Reg. No. 22,837  
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full Name of Inventor: Kurt C. McCracken

Inventor's Signature: Kurt C. McCracken

Date: 11-7-00

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Citizenship: United States of America

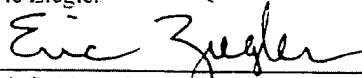
Post Office Address: 2021 Delancy Place, Philadelphia, PA 19103

**Combined Declaration and Power of Attorney**

Page 2 of 2 Pages

Full Name of Inventor: Eric Ziegler

Inventor's Signature:



Date: November 6, 2000

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